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| FORM PTO-1390<br>(REV 1-2003)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>OST-041369  |  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES</b><br><b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b><br><b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><div style="font-size: 24pt; font-weight: bold; text-align: center;">10/507088</div> |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP2003/001010   |  | INTERNATIONAL FILING DATE<br>2/1/2003                   |  | PRIORITY DATE CLAIMED<br>3/13/2002  |  |
| TITLE OF INVENTION System For Treating, In Particular, Cataphoretically Immersion Painting Vehicle Bodies  |  |   |  |   |  |
| APPLICANT(S) FOR DO/EO/US Hans-Joachim Weinand   |  |   |  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |   |  |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))               <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).               <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))               <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>**UNSIGNED**</b></li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |  |   |  |   |  |
| <b>Items 11 to 20 below concern document(s) or information included:</b>   |  |   |  |   |  |
| <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.</li> <li>18. <input checked="" type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: 8 pages of Formal Drawings, Return Postcard and Certificate of Mailing by Express Mail</li> </ol>  |  |   |  |   |  |

|  |              |              |            |   |    |           |  |           |  |
|--|--------------|--------------|------------|---|----|-----------|--|-----------|--|
| U.S. APPLICATION NO. <b>10/507088</b> INTERNATIONAL APPLICATION NO. <b>EP2003/001010</b>   |              |              |            | ATTORNEY'S DOCKET NUMBER<br><b>OST-041369</b>   |    |           |  |           |  |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO ..... <b>\$1080.00</b><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO ..... <b>\$770.00</b><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |              |              |            | <b>CALCULATIONS PTO USE ONLY</b><br><br><br><br><br><br><br><br><br><br><br><table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">\$ 920.00</td> <td style="width: 50%; border: none;"></td> </tr> <tr> <td style="border: none;">\$ 130.00</td> <td style="border: none;"></td> </tr> </table> |    | \$ 920.00 |  | \$ 130.00 |  |
| \$ 920.00  |              |              |            |   |    |           |  |           |  |
| \$ 130.00  |              |              |            |   |    |           |  |           |  |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months<br>from the earliest claimed priority date (37 CFR 1.492(e)).   |              |              |            | <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">\$ 130.00</td> <td style="width: 50%; border: none;"></td> </tr> </table>   |    | \$ 130.00 |  |           |  |
| \$ 130.00  |              |              |            |   |    |           |  |           |  |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA | RATE       | \$  |    |           |  |           |  |
| Total claims   | 2 - 20 =     | 0            | X \$18.00  | \$ 0.00   |    |           |  |           |  |
| Independent claims   | 1 - 3 =      | 0            | X \$86.00  | \$ 0.00   |    |           |  |           |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |              | + \$290.00 | \$ 0.00   |    |           |  |           |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |              |            | \$ 1050.00  |    |           |  |           |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated<br>above are reduced by 1/2.  |              |              |            | \$ 0.00   |    |           |  |           |  |
| <b>SUBTOTAL =</b>  |              |              |            | \$ 0.00   |    |           |  |           |  |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30<br>months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |              |            | \$ 130.00   |    |           |  |           |  |
| <b>TOTAL NATIONAL FEE =</b>  |              |              |            | \$ 1180.00  |    |           |  |           |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property   |              |              |            | \$ 0.00   |    |           |  |           |  |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              |            | \$ 1180.00  |    |           |  |           |  |
|  |              |              |            | <b>Amount to be:</b>  |    |           |  |           |  |
|  |              |              |            | <b>refunded</b>   | \$ |           |  |           |  |
|  |              |              |            | <b>charged</b>  | \$ |           |  |           |  |
|  |              |              |            |   |    |           |  |           |  |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,180.00</u> to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.<br>A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any<br>overpayment to Deposit Account No. <u>50-0545</u> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card</b><br><b>information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.   |              |              |            |   |    |           |  |           |  |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>   |              |              |            |   |    |           |  |           |  |
| <b>SEND ALL CORRESPONDENCE TO:</b><br><br>Jody L. Factor<br>FACTOR & LAKE, LTD.<br>1327 W. Washington Blvd., Suite 5G/H<br>Chicago, Illinois 60607   |              |              |            |   |    |           |  |           |  |
|  |              |              |            | SIGNATURE: _____<br>Jody L. Factor<br>NAME _____<br>34157<br>REGISTRATION NUMBER _____  |    |           |  |           |  |

10/507088

DT03 Re PCT/PTO 07 SEP 2004

September 7, 2004

In Re Apln. of: Weinand

Ser. No.: TO BE ASSIGNED

Filed on: September 7, 2004

For: **SYSTEM FOR TREATING, IN  
PARTICULAR,  
CATAPHORETICALLY  
IMMERSION PAINTING VEHICLE  
BODIES**

Docket No.: OST-041369

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Mailing Label No. **EV 432948256 US**

Date of Deposit – September 7, 2004

I hereby certify that the foregoing

Transmittal for filing under 35 U.S.C. 371;  
Copy of Published International Application;  
8 pages of Formal Drawings;  
Declaration and Power of Attorney (unsigned); and  
Check in the amount of \$1,180.00

is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date identified above

  
Jenelle L. A. Melket